



CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Rebecca A. Bellas

(Type or Print Name of Person Mailing Paper)

11/11/03
(Date)

Rebecca A. Bellas
(Signature of Person Mailing Paper)

Docket No. PRP111US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Howard Fein
Serial No.: 10/616,829
Filed: July 10, 2003
For: IMAGING SYSTEM AND METHODOLOGY EMPLOYING RECIPROCAL SPACE
OPTICAL DESIGN
Art Unit: 2878
Examiner: ----

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120: or (c) U.S. Patent(s) and published application(s) since the subject application was filed after June 30, 2003.

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ____ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ☐ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☒ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.
- (c) ☐ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).
- (d) ☐ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
 - (1) ☐ The required certification is given below, or
 - (2) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
 - (3) ☐ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063
- (e) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
 - (1) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
 - (2) ☐ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.

4. Certification (if applicable)

- (a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. Applicants also hereby make of record the following applications that may contain subject matter related to this application. Applicants make no representation regarding whether the claims of these applications have changed since filing and/or publication. Should the Examiner deem a particular application listed here to be of interest, s/he is encouraged to examine the corresponding PTO application file.

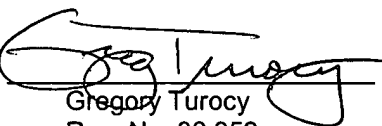
Serial No./Pub No.	Filing Date	Title (Inventor(s)/Applicant(s))	Attorney Docket No.
09/900,218	07/06/2001	Imaging System and Methodology Employing Reciprocal Space Optical Design (Cartlidge and Fein)	PRP101US
10/189,326	07/02/2002	Imaging System and Methodology Employing Reciprocal Space Optical Design (Cartlidge and Fein)	PRP101USA
10/403,744	03/31/2003	Imaging System and Methodology Employing Reciprocal Space Optical Design (Cartlidge and Fein)	PRP101USB
10/047,330 2003-0132365-A1	01/14/2002	Optical System and Method of Making Same (Cartlidge)	PRP102US
10/165,929	06/10/2002	MicroDissection Optical System (Fein and Cartlidge)	PRP105US
10/166,137	06/10/2002	Imaging System For Examining Biological Material (Fein and Cartlidge)	PRP106US

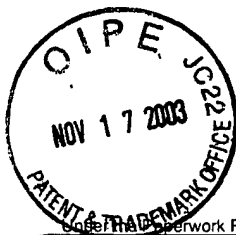
6. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/616,829		
		Filing Date	July 10, 2003		
		First Named Inventor	Howard Fein		
		Art Unit	2878		
		Examiner Name	---		
Sheet	1	of	1	Attorney Docket Number	PRP111US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Melles Griot, Optical Systems, Machine Vision Product Guide, USA, 1998	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.